



RFA 10-02 CIRM Tools and Technologies Award II Related Business Entities Disclosure Form

Instructions

You will need a fully functional copy of *Adobe Acrobat version 8 or later* (Standard or Professional) to complete, print, and save the Tools and Technologies Awards II Pre-Application Related Business Entities Form. For optimal performance, we recommend that you upgrade to *Adobe Acrobat* (Standard or Professional) Version 8.1 or later. Using *Adobe Acrobat Reader* will not permit you to save information that is entered on this form.

Every applicant, for-profit or non-profit, must complete this form.

If your PreApp seeks funding (from CIRM or from a Collaborative Funding Partner) for a for-profit organization, California conflict-of-interest laws require disclosure of business entities that are related to that "Funded Company." Disclosure is required for any company that would receive funding as the applicant organization, as a Co-PI's organization, as a subcontractor, or as the employer of a subcontractor or consultant.

For-profit organization means: a sole proprietorship, partnership, limited liability company, corporation, or other legal entity that is organized or operated for the profit or financial benefit of its shareholders or other owners.

Related Business Entity means:

- 1) a for-profit organization that owns 50% or more of the Funded Company's voting shares;
- 2) a for-profit organization subsidiary in which the Funded Company owns 50% or more of the voting shares;
- 3) a for-profit organization that is under the same management and control as the Funded Company

Enter the Funded Company (for example, a for-profit applicant organization) where indicated on the following page. Add a page for each Funded Company.

Please list all Related Business Entities for the Funded Company where indicated. If there are no Related Business Entities, please enter "none" on line #1.

Applicants must submit this entire form in accordance with the Pre-Applications submission instructions.

All Preliminary Applications (PreApps) and this form must be received by CIRM no later than 5:00pm PDT May 19, 2010. No exceptions to this deadline will be made.

Applicant Information

Enter the Applicant's Name (must be the same as the name on the Part A form) and the legal name of your Organization below.

First Name

Last Name

Institution (Choose from list or enter other institution)

Please complete the following:

- The PreApp does not propose funding for any for-profit organization. If you select this option, no further information is needed on this form.
- The PreApp proposes funding for one or more for-profit organizations. **If you select this option, please complete the following page according to the instructions below.**



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Funded Company

Enter the name of a Funded Company below.

Organization Name:

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Related Business Entities

Please list all Related Business Entities for the above Funded Company.

#	Name